

November 2, 2016

Craig G. Miller
Designated Agency Ethics Official
Defense Threat Reduction Agency
8725 John J. Kingman Road, Stop 6201
Ft. Belvoir, VA 22060

Dear Mr. Miller:

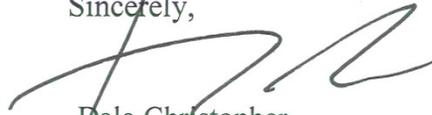
As a result of its review of the Defense Threat Reduction Agency's (DTRA) ethics program, the Office of Government Ethics (OGE) issued one recommendation in its April 2016 inspection report. OGE recently conducted a follow-up review to determine whether DTRA has taken sufficient action to resolve the deficiency underlying the recommendation. Based on the results of our follow-up review, OGE has determined that this recommendation should remain open. The results of our follow-up review are summarized below.

	Recommendation	Agency Action and OGE Finding	Status
1	Implement corrective action to ensure that new entrant confidential reports are filed timely. This corrective action may require increased coordination with the agency's Human Resources Office and supervisors.	<p>Since the completion of OGE's review, DTRA has taken steps intended to help improve timeliness of new entrant confidential financial disclosure filing. For example, DTRA now informs all supervisors of the 30-day reporting requirement and the need for them to immediately notify the Ethics Office when an employee enters a new position or is assigned new duties requiring the filing of a financial disclosure report to ensure that the requirement is met. Periodic reminders are also sent to supervisors.</p> <p>During its follow-up review, OGE examined 27 new entrant confidential reports filed at DTRA in 2016. Of the 27 reports OGE examined, 10 were filed late. The number of days filed beyond the filing due dates ranged from 42 to 69 days.</p> <p>While OGE believes the actions taken by DTRA may be appropriate to resolve the issue of late new entrant confidential filing, our findings reveal that more time</p>	Open

		may be needed to ensure the effectiveness of these actions.	
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OGE will conduct a second follow-up in February 2017 to determine whether this recommendation can be closed. I appreciate the courtesies extended to the OGE program review staff. If you would like to discuss the report, please contact me at 202-482-9224.

Sincerely,



Dale Christopher
Deputy Director for Compliance