

**SEMIANNUAL REPORT OF PAYMENTS ACCEPTED FROM A NON-FEDERAL SOURCE**

Form Approval No.: 0416-GSA-SA

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<b>REPORTING DEPARTMENT OR AGENCY</b>		<b>REPORTING PERIOD</b>		
Commodity Futures Trading Commission		OCTOBER 1 - MARCH 31 (Year)	APRIL 1 - SEPTEMBER 30 (Year)	NEGATIVE REPORT
			X (2016)	

TRAVELER (Name/Title)	EVENT DESCRIPTION/SPONSOR/DATES	LOCATION AND TRAVEL DATES	BENEFITS ACCEPTED					
			SOURCE	DESCRIPTION	CHECK	IN-KIND	AMOUNT	
EXAMPLES	John Smith, Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Hotel		X	\$280.00
					Air Transportation	X		\$825.00
					Meals		X	\$120.00
	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation	X		\$825.00
				Meals		X	\$120.00	

NAME	DESCRIPTION:	LOCATION:	Informa	Air Transportation		X	\$982.56
Shalom Moses	OTC Clearing & Risk Management for the Buy-Side 2016 Conference	London, England		Hotel		X	\$367.22

TITLE	SPONSOR:	DATES:					
Associate Director, Division of Clearing and Risk	Informa	April 26-27, 2016					
	DATES: April 26-27, 2016						

NAME	DESCRIPTION:	LOCATION:					
TITLE	SPONSOR:	DATES:					
	DATES:						

NAME	DESCRIPTION:	LOCATION:					
TITLE	SPONSOR:	DATES:					
	DATES:						

NAME	DESCRIPTION:	LOCATION:					
TITLE	SPONSOR:	DATES:					
	DATES:						

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<b>REPORTING DEPARTMENT OR AGENCY</b>	<b>REPORTING PERIOD</b>		
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	TRAVELER <i>(Name/Title)</i>	EVENT DESCRIPTION/SPONSOR/DATES	LOCATION AND TRAVEL DATES	BENEFITS ACCEPTED				
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					Air Transportation	X		\$825.00
					Meals		X	\$120.00
	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation	X		\$825.00
				Meals		X	\$120.00	

NAME	DESCRIPTION:	LOCATION:			
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NAME	DESCRIPTION:	LOCATION:			
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NAME	DESCRIPTION:	LOCATION:			
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	TRAVELER <i>(Name/Title)</i>	EVENT DESCRIPTION/SPONSOR/DATES	LOCATION AND TRAVEL DATES	BENEFITS ACCEPTED				
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EXAMPLES	John Smith, Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Hotel		X	\$280.00
					Air Transportation	X		\$825.00
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	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation	X		\$825.00
					Meals		X	\$120.00

NAME	DESCRIPTION:	LOCATION:				
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<b>REPORTING DEPARTMENT OR AGENCY</b>				<b>REPORTING PERIOD</b>					
				OCTOBER 1 - MARCH 31 (Year)		APRIL 1 - SEPTEMBER 30 (Year)		NEGATIVE REPORT	
				X					
<b>TRAVELER</b> <i>(Name/Title)</i>		<b>EVENT</b> <b>DESCRIPTION/SPONSOR/DATES</b>	<b>LOCATION AND TRAVEL</b> <b>DATES</b>		<b>BENEFITS ACCEPTED</b>				
					<b>SOURCE</b>	<b>DESCRIPTION</b>	<b>CHECK</b>	<b>IN-KIND</b>	<b>AMOUNT</b>
EXAMPLES	John Smith, Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03		Asia-Pacific Forum Pacific Rim Assoc.	Hotel		X	\$280.00
						Air Transportation	X		\$825.00
						Meals		X	\$120.00
	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03		Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation	X		\$825.00
					Meals		X	\$120.00	
NAME	DESCRIPTION:	LOCATION:		Securities & Futures Institute of Taiwan	Air Transportation		X	\$9,312.50	
Kevin Piccoli	Participation in seminar entitled "New Trends in Supervision of the Derivative Market 2016"	Taipei, Taiwan			Hotel		X	\$625.00	
					Ground Transportation		X	\$93.75	
TITLE	SPONSOR:	DATES:							
Deputy Director, Office of International Affairs	Securities & Futures Institute of Taiwan	May 16-19, 2016							
	DATES: May 16-19, 2016								
NAME	DESCRIPTION:	LOCATION:							
TITLE	SPONSOR:	DATES:							
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NAME	DESCRIPTION:	LOCATION:							
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EXAMPLES	John Smith, Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Hotel		X	\$280.00
					Air Transportation	X		\$825.00
					Meals		X	\$120.00
	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation	X		\$825.00
				Meals		X	\$120.00	

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EXAMPLES	John Smith, Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Hotel		X	\$280.00
					Air Transportation	X		\$825.00
					Meals		X	\$120.00
	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation	X		\$825.00
					Meals		X	\$120.00

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<b>REPORTING DEPARTMENT OR AGENCY</b> U.S. Commodity Futures Trading Commission	<b>REPORTING PERIOD</b>		
	OCTOBER 1 - MARCH 31 (Year)	APRIL 1 - SEPTEMBER 30 (Year)	NEGATIVE REPORT
		2016	

TRAVELER <i>(Name/Title)</i>	EVENT <i>DESCRIPTION/SPONSOR/DATES</i>	LOCATION AND TRAVEL <i>DATES</i>	BENEFITS ACCEPTED					
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EXAMPLES	John Smith, Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Hotel		X	\$280.00
					Air Transportation	X		\$825.00
					Meals		X	\$120.00
	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation	X		\$825.00
				Meals		X	\$120.00	
<b>NAME</b> Petal Walker	<b>DESCRIPTION:</b> Panelist on key market structure issues at the 13th National Conference on Energy Trading Compliance and Regulatory Enforcement.	<b>LOCATION:</b> Houston, TX	<b>AMERICAN CONFERENCE INSTITUTE</b>	Air Transportation		X		\$455.20
				Hotel			X	\$189.00
				Ground Transportation	X			\$153.11
<b>TITLE</b> Chief Counsel, Commissioner Sharon Y. Bowen	<b>SPONSOR:</b> American Conference Institute	<b>DATES:</b> May 24-25, 2016						
	<b>DATES:</b> 24-May-16							
<b>NAME</b> Deborah Brentani	<b>DESCRIPTION:</b> Panelist on preparing for and responding to Government enforcement actions at the 13th National Conference on Energy Trading Compliance and Regulatory Enforcement.	<b>LOCATION:</b> Houston, TX	<b>AMERICAN CONFERENCE INSTITUTE</b>	Air Transportation		X		\$617.24
				Hotel			X	\$221.13
				Ground Transportation	X			\$123.17
<b>TITLE</b> Senior Counsel	<b>SPONSOR:</b> American Conference Institute	<b>DATES:</b> May 23-24, 2016						
	<b>DATES:</b> 24-May-16							
<b>NAME</b> JonMarc Buffa	<b>DESCRIPTION:</b> Panelist on "Regulator Report - Updates from the Regulatory Community on Major Developments and Trends" at Financial Crime Conference.	<b>LOCATION:</b> New York City, NY	<b>ASSOCIATION OF CERTIFIED FINANCIAL CRIMES SPECIALISTS (ACFCS)</b>	Air Transportation		X		\$122.20
<b>TITLE</b> Trial Attorney	<b>SPONSOR:</b> Assoc. of Certified Financial Crimes Specialists	<b>DATES:</b> 2-Jun-16						
	<b>DATES:</b> 2-Jun-16							
<b>NAME</b> Robert Wasserman	<b>DESCRIPTION:</b> Participant on panel "Understanding Recovery and Resolution Scenarios" during conference on procedures of central counterparty clearing orgs	<b>LOCATION:</b> Paris, France	<b>BANQUE DE FRANCE</b>	Air Transportation		X		\$1,188.56
				Hotel		X		\$557.45
<b>TITLE</b> Chief Counsel	<b>SPONSOR:</b> Banque de France	<b>DATES:</b> June 22-25, 2016						
	<b>DATES:</b> 24-Jun-16							

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U.S. Commodity Futures Trading Commission		OCTOBER 1 - MARCH 31 (Year)		APRIL 1 - SEPTEMBER 30 (Year)		NEGATIVE REPORT		
				2016				
TRAVELER (Name/Title)	EVENT	LOCATION AND TRAVEL	BENEFITS ACCEPTED					
	DESCRIPTION/SPONSOR/DATES	DATES	SOURCE	DESCRIPTION	CHECK	IN-KIND	AMOUNT	
EXAMPLES	John Smith, Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Hotel Air Transportation Meals	X	X  X	\$280.00 \$825.00 \$120.00
	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation Meals	X	X X	\$825.00 \$120.00
	NAME	DESCRIPTION:	LOCATION:	Profit & Loss Magazine	Air Transportation Hotel	X	X	\$595.51 \$675.00
	Justin Slaughter	Participant on a panel entitled "Addressing the Liquidity Crunch" at the Profit & Loss Latin America 2016 conference.	Mexico City, Mexico					
TITLE	SPONSOR:	DATES:						
Special Counsel, Commissioner Sharon Y. Bowen	Profit & Loss Magazine	April 19-20, 2016						
NAME	DESCRIPTION:	LOCATION:	New York University and Cornerstone Research	Air Transportation Hotel Ground transportation	X X X		\$122.20 \$518.73 \$111.26	
Paul Hayeck	Panelist about enforcement trends and recent cases at Antitrust and Regulation in Financial Markets: Navigating New Challenges conference.	New York, New York						
TITLE	SPONSOR:	DATES:						
Deputy Director, Division of Enforcement	New York University & Cornerstone Research	May 18-19, 2016						
NAME	DESCRIPTION:	LOCATION:	University of Virginia School of Law	Air Transportation Hotel	X X		\$536.20 \$128.00	
Jessica Harris	Participant on a panel "The Modern Structure of U.S. Securities Trading: High-Frequency Trading, Dark Pools and Regulatory Concerns."	Charlottesville, VA						
TITLE	SPONSOR:	DATES:						
Futures Trading Investigator	University of Virginia School of Law	April 21-22, 2016						
NAME	DESCRIPTION:	LOCATION:	New York University School of Law	Air Transportation Hotel	X X		\$105.00 \$270.00	
Aitan Goelman	Participant on a panel entitled "Corporate and Individual Liability for Corporate Misconduct" at NYU School of Law Third Annual Spring Conference.	New York, New York						
TITLE	SPONSOR:	DATES:						
Director, Division of Enforcement	New York University School of Law	April 7-8, 2016						



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	Joyce Smith, Spouse of Secretary	Conference on Asia-Pacific Relations sponsored by Asia-Pacific Forum.	San Francisco, CA 8/11/03 - 8/13/03	Asia-Pacific Forum Pacific Rim Assoc.	Air Transportation Meals	X	X	\$825.00 \$120.00
	NAME	DESCRIPTION:	LOCATION:	American Bar Association	Air Transportation Hotel Ground transportation	X X X		\$631.81 \$225.00 \$75.00
	Aitan Goelman	Participant on a panel about recent developments in securities regulation at the ABA National Institute on US-Canadian Securities Litigation.	Toronto, Canada					
TITLE	SPONSOR:	DATES:						
Director, Division of Enforcement	American Bar Association	May 17-18, 2016						
	DATES:	18-May-16						
NAME	DESCRIPTION:	LOCATION:	American Conference Institute	Hotel Air Transportation	X	X	\$221.13 \$376.20	
Charles D. Marvine	Presentation at the American Conference Institute's 13th National Forum on Energy Trading Compliance & Regulatory Enforcement.	Houston, TX						
TITLE	SPONSOR:	DATES:						
Deputy Director, Division of Enforcement	American Conference Institute	May 23-24, 2016						
	DATES:	24-May-16						
NAME	DESCRIPTION:	LOCATION:	Securities & Futures Institute of Taiwan	Air Transportation Hotel Ground transportation		X X X	\$9,312.50 \$625.00 \$93.75	
Kevin Piccoli	Participant in seminar entitled "New Trends in Supervision of the Derivative Market 2016" and meeting with senior staff.	Taipei, Taiwan						
TITLE	SPONSOR:	DATES:						
Deputy Director, Office of International Affairs	Securities & Futures Institute of Taiwan	May 16-20, 2016						
	DATES:	May 18-19, 2016						
NAME	DESCRIPTION:	LOCATION:	Practising Law Institute	Air Transportation Hotel	X X		\$238.20 \$360.00	
Erik Remmler	Participant in legal training program entitled Volcker Rule 2016; What it Means for Financial Institutions and Markets.	New York, New York						
TITLE	SPONSOR:	DATES:						
Deputy Director, Division of Swap Dealer and Intermediary Oversight	Practising Law Institute	May 16-17, 2016						
	DATES:	17-May-16						